



# D8.16 Exploitation Strategy 1st year status

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# 1 Executive Summary

The SPROUT Exploitation Strategy is the document that sets out all the activities, which project partners will undertake with the aim of maximising the impacts of the project over its lifetime and after its completion. This deliverable, D8.16: Exploitation Strategy – 1<sup>st</sup> Year Status presents the update of the exploitation strategy and the actions performed for the exploitation of the project results by project partners.

This strategy has been developed by POLIS, Work Package 8 leader for *Project outcome's validation, transfer, and exploitation*, in cooperation with relevant partners.

SPROUT Exploitation Strategy has been issued at the end of the first year of the project (M12), revised in M18 at request of the EC, and is now evaluated and reviewed at the second year (M24). It will also be reviewed and evaluated at the end of the project.

The Exploitation Strategy proposes activities that will allow for an appropriate uptake of the results produced within the project. In particular, this report focuses on a series of aspects that are essential in order to ensure that the project results are taken up by urban mobility stakeholders in Europe and beyond:

- Identification of exploitable project results;
- Target groups and objectives of exploitation per target group;
- Exploitation roadmaps;
- Role of the SPROUT Open Innovation Community in the exploitation of results;
- Involvement of validation and associated cities.

## 2 Introduction

### 2.1 Aim of the deliverable

The objective of this deliverable is to update the fully-fledged strategy that serves as the guide for all the SPROUT partners regarding the activities of exploitation of the results that the project will produce during its lifetime.

This strategy aims to ensure a maximum level of awareness and consequently the uptake of project results, as well as establishing clear roadmaps for exploitation depending on the project result and on the target group addressed.

The exploitation of the SPROUT results has the following objectives:

- Policy development and change at the urban level in Europe and beyond;
- Capacity building activities for local practitioners
- Further research activity;
- Use of project results in the context of standardisation activities.

This document highlights the potential for exploitation of each of the expected project results and its potential roadmap for exploitation, as well as the timeline expected for the exploitation of the respective results.

With relation to the different project results, the plan for exploitation includes a comprehensive table, which provides an overview of the roadmap for each project output, the relevant audiences that are identified as suitable target groups, as well as the action that each project partner will put in place in order to maximize the impact of the project through the exploitation of its results.

### 2.2 How this deliverable relates to other deliverables

This deliverable has to be seen as a complementary document to the SPROUT Exploitation Strategy (D8.15), SPROUT Communication and Dissemination Strategy (D8.6), SPROUT Dissemination/Communication Strategy and Dissemination Plan – 2<sup>nd</sup> year Status (D8.7) and SPROUT Validation Strategy (D8.5).

The indications set out in the Communication and Dissemination Strategy – aimed at defining communication channels, tools and opportunities for dissemination – and in the Validation Strategy – aimed at enhancing the quality of project results and their internal consistency – are very relevant and linked to the plan for the exploitation of project results.

This is due to the 3-layer cities' approach adopted by the project, in which the validation cities are not only the primary audience for dissemination of the results of the pilot projects, but also the most important validation entities in the project.

They therefore represent an essential target for structured transfer of SPROUT results and contribute to the assessment of the transferability potential of the results of the project.

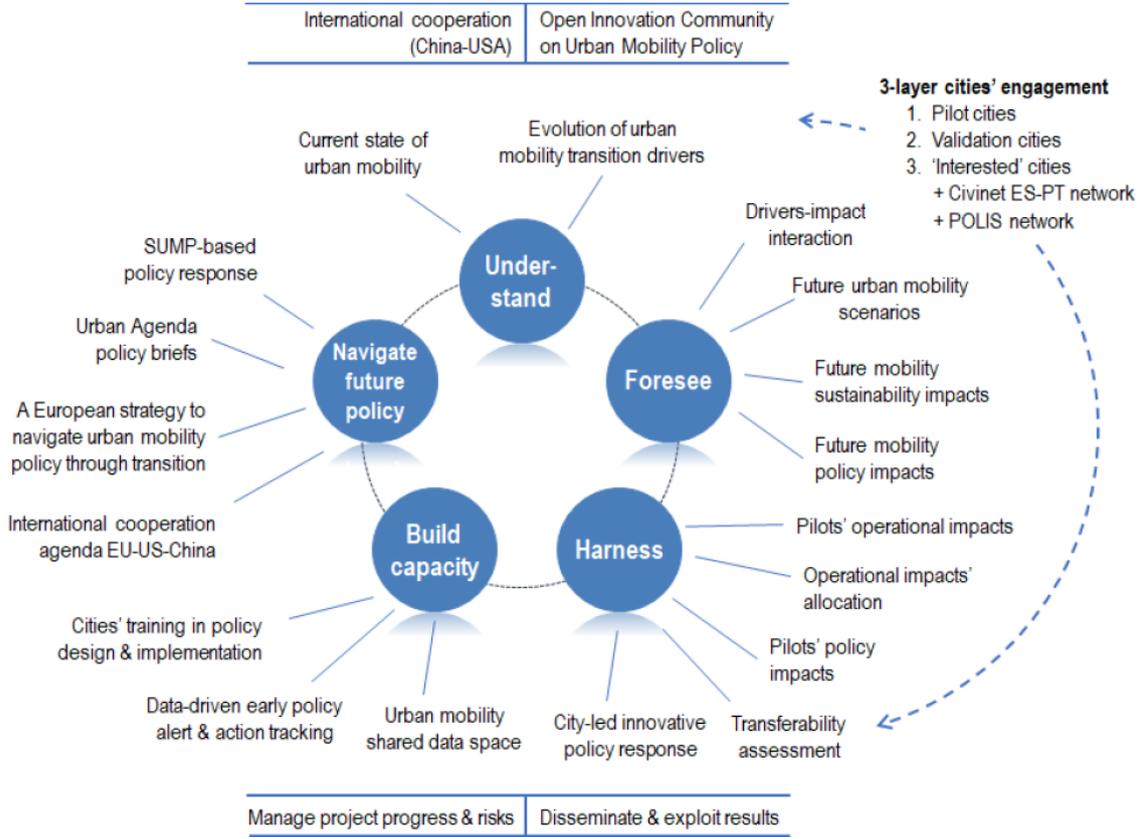
This deliverable is also closely related to the project report outlining the set-up of the SPROUT Open Innovation Community (D8.1) which is another platform through which the outcomes of the project are transferred and utilised by the stakeholders that make part of the community and their related networks.

# 3 Overview of exploitable results

This chapter provides a detailed overview of the main project results that are expected to be utilised by the urban mobility stakeholders that have been identified as target groups and possible beneficiaries of the results produced by the project.

These results are connected to the different pillars of the SPROUT project and will represent the final outputs of the work packages. The aim the different Work Packages contribute to, is to develop the SPROUT policy response to address the impacts of the emerging mobility patterns, digitally enabled operating & business models, and transport users' needs.

The relation between the approach of SPROUT– based on 5 conceptual pillars (Understand; Foresee; Harness; Build capacity; and, Navigate future policy) and on 3 concept facilitators (3-layers cities' engagement; international cooperation structure; and, participatory innovation approach) and its expected project results – is illustrated by the following diagram.



The SPROUT project results that will be subject to activities of exploitation by the project partners, are described in the following section, with relation to the different Work Packages. A comprehensive matrix is also included in the Annex, with aim of providing information on:

- Key available results for each WP
- Foreseen exploitation activities for each result
- Actors involved in the exploitation actions
- Type of exploitation foreseen for each project result

- Timeline for the exploitation actions

### 3.1 Work package 2: Understanding transition in urban mobility

#### **Main WP2 result**

#### **Inventory of indicators of urban mobility transition, drivers definition and state of urban mobility in the SPROUT cities**

The SPROUT approach to navigate transition in urban mobility is based on a solid understanding of the current changes in the urban mobility landscape and its impacts on sustainability and governance. In order to acquire such an understanding, the project has defined a framework for the collection and integration of data by the partner cities. These efforts resulted in an ‘urban mobility transition inventory’, which consists of a set of relevant KPIs, drivers, and stakeholders. This set of attributes corresponds to the aspects on which the SPROUT pilot and validation cities collected and structured data to build their assessment of current state of urban mobility.

In close connection with these activities, the SPROUT pilot and validation cities also analysed the previously identified urban mobility transition drivers, in order to identify which were considered as essential in the construction of future city-specific urban mobility scenarios.

The main results of this work package entail a significant potential for exploitation, which is connected to the possibility, not only for SPROUT cities but also for external and follower cities and regions, to take up the set of attributes recognised by SPROUT as essential for understanding transition to future urban mobility, and employ them in their local context for similar purposes. This inventory can be adopted by cities for a number of activities linked to policy change and/or policy development in the field of urban mobility, and to activities of capacity building for urban mobility practitioners, for example:

- The creation or the update of their Sustainable Urban Mobility Plans (SUMPs)
- The formation of city practitioners involved in urban mobility issues – through capacity building activities – on innovative and/or disrupting technologies, services, or business models for urban mobility solutions
- The design of new regulations on urban mobility, guidelines for practitioners and data specifications for private mobility operators

The main project partners involved in these types of activity of exploitation will be the SPROUT cities (pilot, validation, and associated), and POLIS, as the network of cities, which will inform its members through dedicated awareness-raising and capacity-building activities. The expected timeline to start planning and realising these actions is identified with the end of the Work Package, namely starting during the first year of the project.

The work done by the project within WP2 has a high potential of exploitation in the field of further research. This will support the dissemination towards external cities in order for them to experiment the adoption of the inventory to respond to similar challenges to the ones faced by SPROUT cities.

## 3.2 Work package 3: Determining the impacts of emerging urban mobility environments

### Main WP3 results

**Stakeholder co-created future urban mobility scenarios for 5 pilot cities and 2 time horizons (2025, 2030)**

**Analysis of impacts of future urban mobility scenarios in case the current policies remain unchanged**

The main results of Work Package 3 consist in the co-creation of three urban mobility scenarios related to the time horizons of 2025 and 2030 for the six pilot cities, assuming that no policy interventions have been undertaken.

These scenarios have the aim of providing plausible and probable future urban mobility environments considering the current urban mobility environments and the policies currently in effect. They are analysed in terms of their impacts on the urban mobility system's economic, environmental, and social sustainability, as well as based on their potential policy impacts. In other words, the impact of each scenario is analysed referring to the adequacy and the effectiveness of the existing policy frameworks in enhancing scenarios' positive sustainability impacts and mitigate the negative ones.

The potential for exploitation of these results concerns again the possibility by local and regional authorities, starting from the ones involved in the project, to utilise the framework and the methodology adopted in the construction of the scenarios and the analysis of their impacts. For this reason, similarly to WP2, the type of exploitation activities foreseen for the co-created scenarios and the analysis of such scenarios will mostly address objectives of policy development and capacity building. In particular, the valorisation of these results could take the form of training courses for city practitioners, creation of additional knowledge in urban mobility departments on disruptive mobility innovation, analysis of the current status of urban mobility in cities that can anticipate changes in policy and governance schemes.

In the SPROUT project the result of this work will be the setup of the pilots and the impact assessment of alternative policy responses. The first type of actors that are targeted for the utilisation of the results of this work package are the SPROUT validation cities. This group of nine cities involved in the SPROUT project follow (at least) one pilot city in the construction of scenarios and the assessment of impact of these scenarios will be in the privileged position of being able to exploit first-hand the new knowledge built in this work package and apply it to

analyse the status of their urban mobility environment, as well as further refine and validate the approach adopted by the SPROUT pilot cities.

Since these analyses will be employed by SPROUT pilot cities as the basis for the setup of their pilots, this validated approach to the setup and testing of new and innovative mobility solutions has the potential to represent a starting point for the validation cities, the associated cities, and further external cities following the activities of the SPROUT project, in the process of introducing new mobility solutions in their local contexts. A mechanism planned for the revision, validation, and fine-tuning of the analyses produced in WP3 was, according to the initial work program and to the D8.5 SPROUT Validation Strategy, a conference session, at one of the major international mobility events, that shall form an interdisciplinary perspective of private, public and academic stakeholders who play critical roles in shaping the unique urban mobility and planning reality of European cities.

Such activities were expected to start with the completion of the Work Package, at the end of the first year of the project, but have been prevented by the COVID pandemic and are currently on hold.

In a similar strategy to the one related to the results of WP2, further research and dissemination activities will stem from the production of the WP3 outputs, based on the expertise and the knowledge gained from the scenario building methodology. This will result in the publication of scientific articles on specialised magazines, in particular by SPROUT academic partners, as well as in the possibility to undertake follow-up initiatives, such as proposals for new EU-funded projects and collaborations. To increase the visibility of the narrative scenarios at local level, the Visual Harvesting Examples of the different scenarios will be translated into their respective local languages.

### 3.3 Work package 4: Pilots' setup, running & testing

#### Main WP4 results

**Evaluation framework with impact assessment guidelines to evaluate the new mobility solutions sustainability impacts, operation feasibility and identify areas where policy intervention may be required**

**Guidance for identifying, prioritizing and testing alternative policy responses considering each urban stakeholders economic, social and environmental impacts**

**Recommendations of 6 pilot-verified, urban policy responses to specific emerging new mobility solutions**

The first objective of WP4 is to provide SPROUT pilot cities with the implementation and evaluation framework to guide the pilot experimentations in the process of assessing the impacts of the new mobility solutions considering the existing policies.

The second objective is the implementation of the pilots. SPROUT first layer cities will follow this framework to test in practice the emerging mobility solution, introducing it into a limited scale and collecting data to assess the operators' operational feasibility and financial sustainability, and the sustainability impact.

Afterwards, pilots will learn to define and prioritize alternative policy responses considering all the stakeholders' needs. Finally, the pilots will need to implement the list of prioritized responses at a limited scale and assess their implementation feasibility and user acceptance to validate the set of alternative policies.

All the steps above will turn into pivotal learnings for the whole urban community to understand how assessing the mobility solutions to identify areas where policy intervention may be required and define and assess alternative policy responses. It will also result in pilots' policy implementation messages to be validated by the second layer cities in WP5.

The body of knowledge that will be produced in the context of the testing of the pilots will contribute to a wide range of exploitation activities, which will be performed by different SPROUT project partners, starting from the completion of the pilot phase, in the second half of the project. First of all, a coordinated dissemination action will be put in place by the academic partners of the consortium, in order to reach out to urban mobility research community. This action will include dissemination activities, such as the organisation of workshops and demonstrations, pitches at international conferences, as well as the issue of scientific publications on specialised magazines and academic journals.

International fora for knowledge-sharing and exchange of best practices will be exploited by SPROUT academic partners and cities, in order to transfer the knowledge gained in the context of pilot experimentations to external cities, not only in Europe, but worldwide. Examples of such communities are the EIT Mobility and the AIOTI Urban Freight workshop.

Not only local and regional policymakers in validation and associated cities will be able to take advantage of the tested policy responses designed to harness the impact of emerging urban mobility solutions. The new knowledge produced in this Work Package will also allow a wider range of urban mobility stakeholders – for example transport operators, logistics service providers, organisations representing different categories of transport users – to make use of the process experimented in SPROUT and replicate it in their local contexts in the framework of assessments of operational feasibility and sustainability of new mobility solutions.

Also, a further target group that will utilise the knowledge developed thanks to the SPROUT pilot cities' experimentations is the academic community: researchers of different disciplines affected by, and driving urban mobility innovations – such as, governance, political sciences, urban design, social cohesion, geography – will be in the position of exploiting the results of the pilots in other EU-funded research and innovation projects and in related initiatives cooperation with national and local research institutes.

### 3.4 Work package 5: Formulating a city-led innovative policy response

#### **Main WP5 result**

**The ‘SPROUT city-led innovative policy response’, widely applicable to European cities, addressing the issues of better understanding, better regulation and better financing.**

**An urban policy model using the system dynamics methodology to comprehend how the various elements of an urban mobility system interact with each other, in order to anticipate and plan policy change.**

The activities within Work package 5 will be dedicated to the development of a fully-fledged urban mobility policy response to urban mobility transition that can be widely applicable to European cities. In parallel, from the WP work, the project will also produce commercially exploitable tools.

The innovation aspect of the SPROUT policy response is that it stems from the experience of six pilot cities and validated by the 9 SPROUT validation cities, making it a city-led and data-driven policy response. Furthermore, in this work package, an urban policy system dynamic model will be developed to gain a more thorough understanding of the interrelations between urban mobility operations, new mobility solutions and urban policies.

This SPROUT city-led innovative policy response, as one of the most important project results, will be the object of exploitation activities by several partners of the consortium. Further research in the framework of future projects and initiatives across the urban mobility international sphere represented by the CIVITAS2020 community is one of the main exploitation activities foreseen for this WP. This is expected to lead to follow-up EU-funded projects and possibly to the development of EU-level recommendations (policy briefs, roadmaps, guidelines), originating by the cooperation of SPROUT academic partners with EU institutions and urban mobility practitioners from European cities.

Activities of actual policy implementation will be the central results that are expected to materialise, not only through the transfer to validation and associated cities of the SPROUT policy response but also of specific tools developed in the project. The SPROUT urban policy system dynamics model will also be made available to cities worldwide through the online repository of the project, and validated through activities of international cooperation with relevant stakeholders such as UN-HABITAT (Urban Mobility Unit, Urban Services Branch), the University of California Davis, and the Massachusetts Institute of Technology: Center for Transportation and Logistics (MIT-CTL), and EU-funded projects (such as the MOMENTUM project), in training actions and capacity-building workshops.

The validation of the SPROUT policy response and of the SPROUT urban policy system dynamics model will be carried out by a wide range of cities. In the first stage, it will be validated by the 1<sup>st</sup> and 2<sup>nd</sup> layer of the project cities. After any required adaptation, it will enter the second stage, with its validation to be undertaken by the 3<sup>rd</sup> layer cities and the SPROUT Open Innovation Community.

### 3.5 Work package 6: Building cities' policy making capacity

#### **Main WP6 results**

**Urban mobility shared data space for both passenger and freight transport;**

**Data-driven early policy alert and action tracking**

**Urban policy toolbox for building cities' capacity on recognising the appropriate uses of additional tools not produced by the project.**

The main objective of Work Package 6 is to enhance the cities' capacities in the policy-design and decision-making process of deploying innovative urban mobility solutions.

Consequently, the main results that will be produced by this Work Package link to this objective and see as their main target groups local and regional authorities in Europe and beyond.

WP6 will implement an "Urban Mobility Shared Data Space" for passenger and freight transport. (T6.1). It provides a structured, ecosystem-based approach to urban mobility data and data sources. The open access repository will be populated with information from 1<sup>st</sup> and 2<sup>nd</sup> layer cities (while being open for 3<sup>rd</sup> layer and other cities). The ecosystem consists of a number of performance indicators, which are grouped according to the urban mobility elements as developed in WP5. Essentially, T6.1 consists of collecting the respective data which previous work packages have not yet collected for 1<sup>st</sup> and 2<sup>nd</sup> layer cities.

Beyond city administrations, the tool is targeted at a range of stakeholder groups, serving as a quantitative basis for evidence-based decision-making: The dataspace will represent an useful pool of information for national institutions, researchers, service providers, as well as industry members that aim at investigating aspects of innovative urban mobility solutions for different objectives, including market enquiries that are preliminary to the roll out of a new mobility service or product; or the development of national policies and guidelines on urban transport and mobility. Thus, the data pool itself can be regarded as and exploited for "open science".

Moreover, the data space is the basis for designing an "Early Policy Alert & Action Tracking Mechanism" (T6.2) that allows cities to recognize critical and potentially transformative developments in their mobility systems in early stages. Building on the data gathered, indicators will be allocated thresholds to facilitate the early signaling.

The “Early Policy Alert Mechanism” is basis for the “Urban Policy Toolbox” (T6.3). First, all 18 SUMI shall be calculated as key performance indicators. This part of the toolbox is a way to facilitate cities with tracking their path towards sustainable urban mobility. The SUMI will be important part of the future policy making - as cities and urban areas continue to develop Sustainable Urban Mobility Plans (SUMP) and work towards EU policy goals, it is important for this progress to be documented to ensure that such achievements become visible.

Further functionalities of the Urban Policy Toolbox will be providing cities’ authorities with useful information to the following questions:

- is my city ready for innovation?
- which parts of your transport system need improvement?
- What are the (potential) conflicts of local stakeholders, who are veto players?

WP leader Wuppertal Institut plans to exploit functionalities of the toolbox to enrich other toolboxes, and vice versa, e.g. the toolbox for efficient e-mobility from Horizon 2020 project SOLUTIONSplus, In this way, WI aims to maximise the toolboxes’ impacts.

The Toolbox, the Early Policy Alert Mechanism, and the Shared Data Space will be at the core of SPROUT training and capacity building activities (T6.4), through webinars and e-learning courses). Capacity building events will also focus on designing and implementing urban mobility policies, by providing targeted information on urban policy design, policy coalition building, and governance.

The capacity building activities will be delivered during the third year of the project and they will be functional not only for the cities involved in SPROUT, but also exploitable for follower cities that aim at navigating urban mobility transition though a data-driven and informed methodologies.

### 3.6 Work package 7: Navigating future policy

#### **Main WP7 results**

**An adapted SUMP-based policy response;**

**Urban Agenda policy briefs;**

**A European strategy to navigate urban mobility policy through transition;**

**An agenda of international cooperation on urban mobility policy issues between the EU, China, and the US.**

The results of this Work Package link to the project objective of having a long-lasting impact on future EU initiatives on urban mobility and of delivering an agenda of international

cooperation on urban mobility policy issues between the EU, China, and the United States. For this reason, the main actors that will be targeted for the exploitation of the results of this Work Package are mostly policymakers and actors belonging to institutions designing governance models for urban mobility in transition. Differently from most of the results of the previous Work packages, the policymakers targeted by exploitation activities are not only local and regional, but mostly of other levels of governance, in particular the EU-level.

The results of each pilot will be channelled into pilot city-specific recommendations for the revision of their SUMP, in light of the findings produced by the experimentation of the alternative policy responses to the specific emerging mobility solutions tested in each pilot city. However, the revision of SUMP will not be limited to the local level, but it will also aim at a better integration of the topics of merging innovative mobility solutions into the EU SUMP concept, through the development of SUMP-related updated recommendations, guidelines and roadmaps, which take into full account the learnings collected in the project. This way, the beneficiaries of the results of SPROUT will be authorities in all cities in Europe, who through the implementation of the revised SUMP guidelines by the European Commission (in cooperation with SPROUT cities and academic partners), will be supported in their plans of: analysing local mobility situations and developing scenarios; considering the impacts of new mobility solutions; and identifying emerging mobility scenarios. Furthermore, the work developed within SPROUT will feed the development of SUMP Practitioner's Briefings and/or SUMP Topic guides to facilitate the transferability of the project results to a wider range of cities.

Policymakers will also exploit the results of the SPROUT projects, by benefitting from the two SUMP Policy Briefs that will address the following actions defined by the Partnership for Urban Mobility, during the last year of the project:

- SPROUT Policy Brief 1: Extent of support and/or regulation to be provided by city authorities to new mobility services and the future evolution of the cities' role;
- SPROUT Policy Brief 2: Required regulatory and operational facilitators for the effective integration of new mobility solutions in the transport offer of cities.

These outputs will contribute to form the basis for structuring a proposed European strategy to navigate urban mobility policy through transition. The aim of this strategy is not only to provide a framework for future EU-funded research and innovation projects, but also to represent the basis of a proposal for a formal EU policy document. National and regional policymakers will also benefit from this strategy that will serve as a guideline for national or regional policies aiming at addressing the topics covered by SPROUT.

Furthermore, the involvement in the project of Chinese and US cities allows to validate project results under a range of conditions that go beyond the ones present in Europe. For this reason, policymakers at all levels, as well as researchers and other urban mobility stakeholders from outside of Europe will be able to harness the results of the project, through the means of the creation of a fully-fledged agenda of international cooperation on urban mobility policy issues between the EU, China, and the US. The Wuppertal Institute and other technical partners of the project will use their international network to close the implementation gap between applied research of SPROUT and policy action to foster sustainable urban development and mobility in Europe, Asia, Africa and Latin America. The main partnerships in this context are the Urban

Electric Mobility Initiative (UN Habitat), the Mobilise Your City Partnership (EC, German Ministries) and the Global Environment Facility. This will be realised by the organisation of joint activities with the aforementioned global partners, which will take place starting from the end of the project and are expected to represent the basis of new synergies and initiatives. For instance, the project is committed in exploring possible thematic synergies with relevant projects funded by the US department of Transportation, as outlined in the Annex 2 of the Description of Action, on the basis of topics of mutual interest that have the potential of resulting in benefits in research and dissemination.

## 4 Outreach to target groups

This section describes the main target groups for the exploitation of the project results produced by the SPROUT projects, which were also mentioned in the previous chapter dedicated to the exploitable results of each Work package.

The SPROUT project addresses its efforts related to exploitation activities, as well as for the ones related to dissemination, towards the target audiences outlined in the following subsections.

### 4.1 Local European policymakers

European cities are to be considered as the primary target groups of a project, which has as its main objective the development of data-driven city-led policy response to transition in urban mobility.

The means that the outreach to this community is represented by the relevant contacts that most of the technical partners of the project (ZLC, VUB-MOBI, UPM, Polis, CERTH, Wuppertal Institut) have within the CIVITAS network and at a national level (for example, through the involvement of CIVINET Spain and Portugal) and the dissemination and capacity-building actions of the Polis Network for its member cities and regions.

Within this target group, the pool of cities and regions that are part of the consortium as validation cities represent the primary audience of the project results that are originated by the experimentations that will take place in the pilot cities. These actors will not only co-learn and co-create the SPROUT approach together with the pilot cities, but will also take an active role in the transfer of project results, with their neighbouring communities and networks. This action will enhance the larger uptake of the SPROUT policy-response to a larger network of cities, first of which the pool of third-layer cities (the so-called, associated cities), which will be regularly updated throughout the project duration about the development of project results.

### 4.2 Research communities

As highlighted in the previous chapters, several of the project results will be the starting point of further research, project proposal and collaborations by the academic community involved in urban transport and mobility, with a particular attention on disruptive innovation in urban mobility.

This channel for the exploitation of SPROUT project results is identified on the one hand in the transfer of knowledge and uptake of results in the framework of current and future EU-funded projects – many of which have been already identified as related projects in the Communication and Dissemination Strategy – and on the other hand, thanks to the participation of the research institutions present in the consortium in national and regional research projects and to their cooperation with industry members at national level.

Furthermore, the involvement of the European Conference of Transport Research Institute (ECTRI) in the SPROUT Open Innovation Community, and as signatory of a Letter of Support in the preparation phase of the projects, guarantees further channels of communication with

its member community, composed by the most prestigious higher research institutes covering urban transport and mobility topics.

### 4.3 Urban mobility industry

A target group, which will also benefit of the results originated by SPROUT, is the urban mobility industry, which will take advantage of the project's policy response by the exploiting the possible new and innovative models of cooperation with local and regional authorities in the provision of innovative mobility services and products.

The collaboration of the project partners with the industry in other related European and national research projects will give the possibility that the project results developed by SPROUT are translated in real solutions to problems of public-private cooperation. In addition to that, SPROUT validated models will lead to the development of innovative products and services that can also be exploited by the urban mobility industry - for example the predictive analytics Open source Tool for evidence based policy alert and action tracking -which are really aligned to policy objectives aimed at economic, social and environmental sustainability.

The project has also targeted the outreach to urban mobility industry members through the participation of project partners to the following international mobility events – keeping in mind possible the postponement or cancellation of physical meetings, due to the restriction of travel and gatherings following the COVID-19 pandemic:

- NECTAR Conference 2021, dates & place to be defined
- 16th World Conference on Transport Research (WCTRS 2022), Montreal, Canada
- 100th TRB conference, 24-28 January, 2021, Washington DC, USA
- 15th International Conference on City Logistics and Freight Transport, 7-8 February 2021, Amsterdam, Netherlands
- 2021 CIVITAS Forum Conference, dates & place to be defined
- POLIS Conference 2021, dates & place to be defined
- 2022 TRB conference, Washington DC, USA, dates to be defined
- 2022 Transport Research Arena, dates & place to be defined
- 16th World Conference on Transport Research (WCTRS), 24-28 July 2022, Montréal, Québec, Canada,
- 11th World Urban Forum, Katowice, Poland, 2022, dates to be defined

### 4.4 Asian and North American urban mobility innovation experts

One of the characteristics that distinguishes SPROUT from most of the other EU-funded projects is the international dimension of its outreach. Thanks to the direct involvement in the project of one Chinese city, Ningbo, and one city from the United States, Minneapolis, SPROUT aims at providing a truly global perspective to its policy-response to urban mobility transition.

The international audience of the project is not only represented by the international cities that are part of the consortium, but also by the links established by the project partners with a series of international actors that are following the project activities and have signed a letter of support

to the project during its preparation phase: University of California Davis, the Massachusetts Institute of Technology – Centre for Logistics Transportation, and UN-HABITAT (Urban Mobility Unit, Urban Services Branch), all of which are also part of the SPROUT Open Innovation Community.

## 5 Role of the Open Innovation Community

The SPROUT Open Innovation Community (OIC) is an online forum platform (<https://www.etmforum.eu/working-groups/wg-04-sprout-oic-policy-response-to-transition/>) for debate among external stakeholders and SPROUT partners about the current changes in urban mobility and the policy requirements to face them. The OIC will have a central role in the exploitation of the transfer of the SPROUT policy-response towards the external communities that are interested in the work of SPROUT.

The main goals to be achieved through this OIC are: (i) to reflect and brainstorm on the policy response needed to face emerging mobility solutions, (ii) to actively involve international members, bringing together relevant experiences and insights from different contexts (iii) to provide additional insights into current changes in urban mobility, (iv) to exchange best innovative policy response to urban mobility problems, and (v) to validate the SPROUT project results.

The participation and involvement in the OIC of many of the most important network organisations in the field of urban mobility, world-leading universities, and research centres in the field of transport, will ensure the take up and transfer of key project results towards a wider and differentiated range of actors within the urban mobility community and beyond.

OIC members will be actively involved through a series of online community hubs, allowing them to regularly be informed of SPROUT project results, and will receive them according to their interest and to their possible contribution in the exploitation and in the transfer of the results. These online community hubs will allow the project to validate and explore the potential of replicability of the solutions proposed and tools developed by the SPROUT project and its pilot cities.

Two online community hubs have been launched during the first two years of the project. Hub 1 “Urban Mobility transition inventory” was created in October 2019 around WP2. Hub 2 “Determining the impacts of emerging urban mobility environments” was created in April 2020 around WP3.

An electronic “survey” in which OIC members can express their views on the results obtained from the SPROUT pilots has been distributed in August 2021. This survey includes information and questions for OIC members to validate the latest project results and some of the approaches of SPROUT’s future tasks.

## Annex 1– Table summarising exploitable results – 1<sup>st</sup> year status

Notes:

- For more information on publications, please consult Annex 2.

Key available result	Foreseen exploitation actions	Actors involved	Type of exploitation	Timing	Actions in M24
Inventory of indicators of urban mobility transition, drivers' definition, and state of urban mobility in the SPROUT cities (WP2)	SPROUT cities internal valorisation (knowledge, SUMP, new regulations and guidelines for operators...).	SPROUT cities and networks of cities	Policy development, know-how	After WP2 ends	N/A
	Further research and dissemination	SPROUT academic partners	Scientific publications	From first year of the project on	N/A
Impacts of emerging urban mobility environments (WP3)	SPROUT cities internal valorization (knowledge, SUMP, new regulations and guidelines for operators...).	SPROUT cities and networks of cities	Policy development, know-how	After WP3 ends	1 policy developments (Resilience Topic Guide)
	Further research and dissemination	SPROUT academic partners	Scientific publications	From first year of the project on	-Experimenting with scenario-building narratives to integrate land use and transport,

					<p>Transport Policy Volume 101, February 2021, Pages 57-70.</p> <p>-Full paper submitted and accepted at the ICTR 2021 (10th International Congress on Transportation Research): <i>A conceptual framework for assessing the sustainability impacts of city-specific future mobility scenarios: the H2020 SPROUT approach.</i></p>
	Further research and dissemination based on the expertise and knowledge gained from the scenario building methodology	SPROUT academic partners	New projects and collaborations	From first year of the project on	The scenario building methodology has led to the development of a new project, 'Remobilise'. It is funded by Innoviris and will start on November 1, 2021.
Pilots Evaluation Framework (WP4)	Further research, dissemination and collaboration with other projects (i.e CIVITAS ELEVATE)	SPROUT academic partners, research community	Scientific publications; Workshops/demonstrations	From September 2020 on (deliverable	Full paper submitted and accepted at the ICTR 2021 (10th International Congress on Transportation Research). <i>City-specific</i>

				4.1 submission)	<i>policy responses for harnessing the impact of new mobility solutions. An evaluation framework.</i>
Pilots-verified urban policy responses (WP4)	Tailored-made policy responses to specific emerging new mobility solutions, cities implementation	Pilot cities	Policy development	After the project ends	
	Dissemination of the demonstrations' impact assessment results to the research community	SPROUT academic partners, research community;	Scientific publications; Workshops/demonstration; International Cooperation;	After WP4 (WP4 is currently underway)	Articles related to the Kalisz pilot: <a href="#"><u>Sustainable city logistics. A prototype of a sensor network and intelligent unloading bay,</u></a> <a href="#"><u>Sustainable response towards transformation of urban mobility</u></a>
	Transferring the knowledge gained from the SPROUT demonstrators to the international fora (i.e. EIT Mobility and accelerator programme,	SPROUT academic partners, research community; SPROUT cities	Workshops	After WP4	

	AIOTI Urban freight workshop e.tc.) that SPROUT partners participate.				
	International Cooperation and knowledge transfer to cities worldwide (Minneapolis, China)	SPROUT academic partners, research community; SPROUT cities	Workshops	After WP4	
SPROUT city-led innovative policy response (WP5)	Policies implementation	SPROUT 2nd and 3rd layer cities. Other European cities.	Policy development	After the project ends	
	EU-level recommendations	EU institutions, Urban Mobility practitioners	Policy brief/roadmaps	Last year of the project (D5.3 submission)	
	Further research	SPROUT academic partners	Scientific	Last year of the project	
SPROUT urban policy system dynamics model (WP5)	Making available the system dynamics tool to the cities worldwide	SPROUT academic partners; SPROUT cities	Sharing results on SPROUT online repository (WP6)	After WP5	
	International Cooperation and Synergies with other European Projects (i.e.	Research community, European/International Cities and their local	Educational (Training actions/Workshops)	After T5.2.	

	H2020 MOMENTUM) for validation and further research.	ecosystem, European projects ecosystem			
	Further research and dissemination	SPROUT academic partners	Scientific publications	After WP5	
Urban mobility shared data space for both passenger and freight transport (WP6)	Providing an open access repository of urban mobility data and policy tools	SPROUT academic partners; SPROUT 1st and 2nd layer cities	Open access repositories and toolbox	Last year of the project (D6.1 submission)	
	Cities' training in policy design and implementation (webinars, e-learning)	SPROUT cities, POLIS, CIVINET, other cities	Educational	Last year of the project (T6.4) on	
	Market research prior to the deployment of a new service or product by mobility providers	Mobility service/product providers	Commercial	Last year of the project on	
	Further research, dissemination	SPROUT academic partners	Scientific publications	Last year of the project on	
Data-driven early policy alert&action tracking (WP6)	Predictive analytics Open source Tool for evidence based policy alert & action tracking	SPROUT cities, POLIS network of cities, CIVINET, other cities; SPROUT academic partners	Commercial	After D6.2.	

	Cities' training in policy design and implementation (webinars, e-learning)	SPROUT cities and other cities (Europe and beyond)	Policy development	Last year of the project (T6.4) on	
	Further research, dissemination	SPROUT academic partners	Scientific	Last year of the project on	
Urban policy toolbox (WP6)	Collaboration with other projects (i.e. MOMENTUM) for tools and approaches exchange	Research community, Other European projects academic partners	Workshops/Training	Last year of the project (T6.3)	
	Cities' training in available policy tools, approaches and methods (webinars, e-learning)	SPROUT cities, SPROUT academic partners, POLIS CIVINET, Other cities	Educational (Training actions/Workshops)	Last year of the project (T6.3)	
SUMP revision recommendations (WP7)	Updated SUMPs & SULPs	SPROUT pilot cities	Policy development	After the project ends	
Updated SUMP guidelines (WP 7)	Recommendations, Guidelines, Roadmaps	EU institutions, Urban Mobility practitioners, SPROUT academic partners	Policy development	Last year of the project	

	Further research and dissemination	SPROUT academic partners	Scientific publications	Last year of the project	
Policy Brief 1: The extent of support and/or regulation to be provided by city authorities to new mobility services and the future evolution of the cities' role (WP7)	Recommendations	Policy makers, cities authorities	Policy development	Last year of the project (D7.2)	
	Further research, other projects	SPROUT academic partners	Scientific	Last year of the project on	
Policy Brief 2: Required regulatory and operational facilitators for the effective integration of new mobility solutions in the transport offer of cities (WP7)	Recommendations	Policy makers, cities authorities	Policy development	Last year of the project (D7.2)	
	Further research, other projects	SPROUT academic partners	Scientific	Last year of the project on	
Draft roadmap to navigate urban mobility through transition (WP7)	Proposal for a recommendation	EU institutions	Policy development	After the end of the project	

Agenda of international cooperation on urban mobility policy between the EU, China, and the US (WP7)	Exploring synergies with projects and initiatives outside the EU.	SPROUT partners, EU institutions, urban mobility stakeholders (the EU and outside), policy-makers	Scientific/Policy development	After the end of the project and at least for at least 3 more years	
	Joint activities and collaboration	SPROUT partners, EU institutions, urban mobility stakeholders (the EU and outside), policy-makers	Scientific	After the end of the project and for at least 3 more years	

## Annex 2 – Communication Dissemination Activities - EVENTS

External conferences, meetings and (physical or online) events, at which SPROUT partners participated and/or organised and where a presentation on the project was delivered, are included in the following list:

### FIRST YEAR

- European Mobility Week, 22 September 2019. Zaragoza – Spain. Presentation of SPROUT by Zaragoza Logistics Centre
- Turquoise (TEAL) Business Breakfast, 27 September 2019. Kalisz – Poland. Participation and organisation by the Kalisz Business Incubator Foundation
- CIVITAS Forum 2019, 2 October 2019 – Graz, Austria. [Presentation by Aristos, Halatsis \(CERTH\)](#)
- Local business Forum, 17 October 2019 – Kalisz, Poland. Participation and organisation by the Kalisz Business Incubator Foundation
- Polis Conference 2019, 28 November 2019 – Brussels, Belgium. [Presentation by Aristos, Halatsis \(CERTH\)](#)
- Local meeting with enterprises, local authorities, clusters, business support organisation, organised by the Kalisz Regional Chamber of Commerce and Industry, 5 December 2019 – Kalisz, Poland. Participation by the Kalisz Business Incubator Foundation and by the City of Kalisz
- International business meeting, 9 December 2019 – Kalisz, Poland. Participation and organisation by the Kalisz Business Incubator Foundation
- Business Breakfast, 12 February 2020 – Kalisz, Poland. Participation and organisation by the Kalisz Business Incubator Foundation
- Meeting with academics organised by UPM, 3 February 2020 – Rome, Italy. Organised by UPM with local academics to promote the Open Innovation Community
- SuSMo Webinar on projects that deal with topic of shared mobility, 22 June 2020. [Presentation by Dr. Imre Keseru \(VUB\)](#)
- Webinar: “Business models, funding and financing transport” organised by the H2020 PROSPECT project, 2 July 2020. Participation and presentation by Wuppertal Institut.

### SECOND YEAR:

- Urban Mobility Days 2020, 2 October 2020 – online, which replaced the 2020 CIVITAS Forum Conference. Project participated with and online stand and counted with project partners ZLC and Polis.

- Polis webinar: The future of mobility in cities. 8 October, 2020. Presentation by project partner VUB and panel discussion including project partners VUB and ZLC.
- CIVITAS ELEVATE Evaluation Coordination Group (ECG). 22 October, 2020 – online. Discussion among 11 CIVITAS projects+ELEVATE participants. With the participation of CERTH and ZLC.
- SMMR | Open Data Webinar. 10 November, 2020 – Online. Presentation from ZLC.
- Joint SPROUT- GECKO webinar Mobilising Mobility: Turning EU research into local action. Designing policy responses to mobility innovation. 8 October, 2020. Organized by Polis network. Presentations from VUB and ZLC.
- MOBI Seminar. 24 November, 2020. Organized by VUB
- Polis Conference 2020. 2 December, 2020 – online, Presentation by VUB.
- 1st Balázs Mór Club: Active and Micromobility in Budapest. 25 February, 2022. Presentation about the regulation of micromobility and shared mobility, and the PILOT actions by project partner BKK
- Multiple local events held by project partner KALIZBIF, where SPROUT was featured.
- Transport Research Board conference, 24-28 January, 2021. Virtual event.
- Urbanism next Europe Conference 2021. 11 June, 2021 – online. presenting: Urban mobility transition in 5 SPROUT cities. With the participation of project partners ZLC, CERTH, VUB, POLIS and pilot cities.
- ELogistics 2021 Polish Logistics Congress "New Era is loading". Local event with the participation of project Partner ILiM.
- European Conference on Mobility Management (ECOMM), 3-5 June 2020, Cascais, Portugal. Developing city scenarios for urban mobility transition: the SPROUT project. Application sent by CERTH, VUB and ZLC, event rescheduled for 2021

# Annex 3 – Communication Dissemination Activities - PUBLICATIONS

## YEAR 1

- [\*Sustainable city logistics. A prototype of a sensor network and intelligent unloading bay\*](#), by Malgorzata Kirchner and Marcin Foltynski (Institute of Logistics and Warehousing, ILIM), LOGISTYKA Magazine. Target groups: Transport service/Solutions suppliers, technology suppliers, businesses and R&D in logistics, public.

## YEAR 2

- [\*Sustainable response towards transformation of urban mobility\*](#), by Malgorzata Kirchner, Paulina Mlodawska, and Wiktor Zuchowski (Institute of Logistics and Warehousing, ILIM), LOGISTYKA Magazine. Target groups: Business and R&D in logistics.
- [\*Experimenting with scenario-building narratives to integrate land use and transport\*](#), by Amor Ariza-Álvarez, Julio A. Soria-Lara, Rosa M. Arce-Ruiz, María Eugenia López-Lambas, Montaña Jimenez-Espada (Universidad Politécnica de Madrid, UPM), Transport Policy Volume 101, February 2021, Pages 57-70.
- [\*Topic Guide. “Planning for More Resilient and Robust Urban Mobility”\*](#), Contributions by Georgia Ayfantopoulou, Elpida Xenou (CERTH), M. Teresa De la Cruz Eiriz, Beatriz Royo (ZLC), Marco Mazzarino, (Venice International University), Ebtihal Sheety, (Israel Institute of Technology), Wiktor Zuchowski, Łukasiewicz (ILIM), Carolina Navarro, (Fundación Valenciaport). CIVITAS SATELLITE.
- [\*Project SPROUT: The new urban blueprint for sustainable mobility\*](#), Interview on project partners ZLC/CERTH. Open Access Government magazine.